



2012 INTERNATIONAL ENERGY CONSERVATION CODE[®] PLAN REVIEW RECORD

Plan Review # _____
 Date: _____
 Valuation: _____
 Fee: _____

JURISDICTION: _____
(City, County, Township, etc.)

BUILDING LOCATION: _____
(Street address)

BUILDING DESCRIPTION: _____

REVIEWED BY: _____

Numerals indicated in parenthesis are applicable code sections of the 2012 International Energy Conservation Code (IECC). The plan review accomplished as indicated in this record is limited to those code sections specifically identified herein. This record references commonly applicable code sections with due regard for the amount and type of detailed information which is typically found on construction documents relative to energy considerations. It does not reference all code provisions which may be applicable to specific buildings. This record is designed to be used only by those who are knowledgeable and capable of exercising competent judgement in evaluating construction documents for code compliance.

CORRECTION LIST

No.	DESCRIPTION	Code Section



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Item No. 0802PR12

PLAN REVIEW FORM NOTES: The IECC Plan Review Record is a checklist used to evaluate energy-related features of detached one- and two- family dwellings, all other Group R-2, R-4 or townhouse buildings three stories or less in height and commercial buildings. Included is the evaluation of building envelope design for adequate thermal resistance and low air leakage and the design and selection of mechanical, electrical and service water heating systems, and equipment which will promote the effective use of energy in building construction.

The form is structured by providing a general overview of the building envelope, followed by a section-by-section checklist. **Letter designations used in this form: “C” prior to the section refers to the Commercial Provisions. “R” prior to the section refers to the Residential Provisions. “CE” designates that the provisions are primarily maintained by the Commercial Energy Code Development Committee. “RE” designates that the provisions are primarily maintained by the Residential Energy Code Development Committee.**

THE BUILDING ENVELOPE

To establish compliance with the building envelope provisions of the IECC, proposed insulation levels for ceiling, wall, floor and foundation components which constitute the building envelope must be specified on the construction documents. The following table can be used to help determine how a given building envelope component should be entered in the IECC Plan Review Record.

CEILING COMPONENTS	
Ceilings	Flat ceiling Cathedral or vaulted ceilings Dormer roofs Bay window roofs Overhead portions of an interior stairway to an attic Attic hatches (more than 30 degrees from vertical, otherwise classified as walls) A-frames (8 feet above finished floor of top story)
Floors over outside air	Floors of overhangs (such as the floor above a recessed entryway or carport) Floor cantilevers Floors of an elevated home
Fenestration	Skylight and roof window assemblies
WALL COMPONENTS	
Walls	Opaque portions of above-grade walls Basement walls and kneewalls less than 50 percent below grade Peripheral edges of floors Gable end walls bounding conditioned space Dormer walls Roof or attic kneewalls Through-wall chimneys Walls of an interior stairway to an unconditioned basement Walls enclosing a mansard roof Skylight shafts
Fenestration	Windows, including basement windows (glass and non-glass glazing materials) Sliding glass doors Glass block Opaque doors, glazed doors and combination opaque/glazed doors
FLOOR AND FOUNDATION COMPONENTS	
Floors over unconditioned space	Floors over an unconditioned crawl space, basement, garage, or similar unconditioned space
Basement walls	Opaque portions of individual basement walls 50 percent or more below grade and basement kneewalls where the basement kneewall is 50 percent or more below grade. NOTE: The above-grade portion of the wall is considered a part of the basement wall where the total wall is 50 percent or more below grade
Slab edge	Perimeter edges of slab-on-grade floors
Crawl space walls	Walls of crawl spaces below uninsulated floors

ADMINISTRATION AND ENFORCEMENT (CHAPTER 1 CE, RE)

SCOPE AND GENERAL REQUIREMENTS (C101, C103, R101, R103)

RESIDENTIAL BUILDINGS

(C101.2, C202, R101.2, R202)

Detached one- or two-family dwelling _____

Group R-2, R-3, R-4 or townhouse;
(three stories or less in height) _____

COMMERCIAL BUILDINGS

(C101.2, C202, R101.2, R202)

Prescriptive practice (C401.2 Item 2,
C402-C405, C406.2, C406.3, C406.4) _____

Total Building Performance
(C401.2 Item 3, C407) _____

ANSI/ASHRAE/IESNA 90.1 (C401.2 Item 1) _____

SUBSTANTIATING DATA

(C102, C103, C303, R102, R103, R303)

Certificate (Mandatory) (R401.3) _____

Materials, systems, equipment
(C303, R303) _____

Fenestration product rating
(C303.1.3, R303.1.3) _____

Insulation product rating
(C303.1.4, R303.1.4) _____

Foundation insulation
(C303.2.1, R303.2.1) _____

Information on construction documents
(C103.2, R103.2) _____

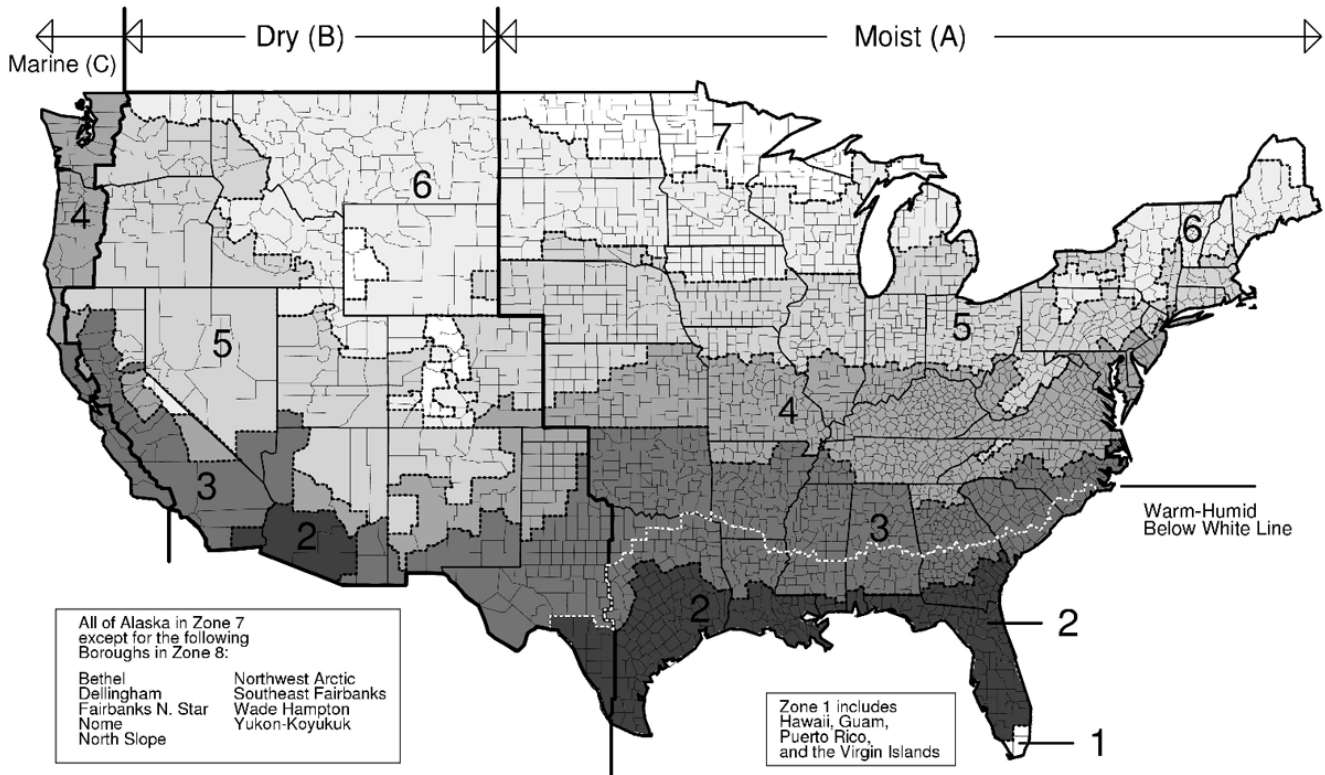
APPLICABILITY (C101.4, C101.5, R101.4, R101.5)

Low energy buildings (C101.5.2, R101.5.2)

Peak energy use < 3.4 Btu/h-ft² (1.0 W/ft²) Yes/No

Unconditioned Yes/No

NOTE: The review of IRC buildings as applicable in Section 101.2 of the 2012 *International Building Code* is beyond the scope of this plan review record except as specifically permitted by the 2012 *International Residential Code*.



COMMERCIAL PROVISIONS

BUILDING SYSTEM REQUIREMENTS (CHAPTER 4 CE)

BUILDING ENVELOPE (C402)

INSULATION REQUIREMENTS

Insulation criteria (C402.1, C402.1.1, Table C402.2)			_____
U-factor alternative (C402.1, C402.1.2, Table C402.1.2)			_____
Roof assembly (C402.2.1)	Type _____	Required R-value _____	Compliance _____
Roof solar reflectance and thermal emittance (C402.2.1.1, Table C402.2.1.1)			

Above-grade walls (C402.2.3)	Type _____	Required R-value _____	Compliance _____
Below-grade walls (C402.2.4)		Required R-value _____	Compliance _____
Floors (C402.2.5)	Type _____	Required R-value _____	Compliance _____
Slab-on-grade floors (C402.2.6)	Type _____	Required R-value _____	Compliance _____
Opaque doors (C402.2.7)	Type _____	Required U-factor/R-value _____	Compliance _____

FENESTRATION

Vertical fenestration area (C402.3.1)		Amount _____	Compliance _____
Skylight area (C402.3.1)		Amount _____	Compliance _____
Increased vertical fenestration area (C402.3.1.1)			

Increased skylight area (C402.3.1.2)			

Minimum skylight fenestration area (C402.3.2)		Amount _____	Compliance _____
Lighting controls (C402.3.2.1, C405.2.2.3.3)			

Haze factor (C402.3.2.2)			

Vertical fenestration (C402.3.3, Table C402.3)	U-factor _____	SHGC _____	Compliance _____
Skylights (C402.3.3, Table C402.3)	U-factor _____	SHGC _____	Compliance _____
Window projection factor (C402.3.3)			_____
SHGC adjustment (C402.3.3.1, Table C402.3.3.1)			

Increased vertical fenestration SHGC (C402.3.3.2)			

Increased skylight SHGC (C402.3.3.3)			

Increased skylight U-factor (C402.3.3.4)			

Dynamic glazing (C402.3.3.5)			

Area-weighted U-factor (C402.3.4)			_____

AIR LEAKAGE (MANDATORY)

Air barriers (C402.4.1, C402.4.1.1, C402.4.1.2, C402.4.2)	_____	Air intakes, exhaust openings (C402.4.5)	_____
Air leakage of fenestration (C402.4.3, Table C402.4.3)	_____	Loading docks (C402.4.6)	_____
Doors and access openings (C402.4.4)	_____	Vestibules (C402.4.7)	_____
		Recessed lighting (C402.4.8)	_____

MECHANICAL SYSTEMS (C403)

APPLICABLE TO ALL HVAC EQUIPMENT AND SYSTEMS (MANDATORY)

Design conditions (C302)	_____
Load calculations (C403.2.1)	_____
Equipment and system sizing (C403.2.2)	_____
Equipment performance [C403.2.3, Tables C403.2.3(1) - (9)]	_____
Water cooled chilling (C403.2.3.1)	_____
Positive displacement chilling (C403.2.3.2)	_____
Temperature/humidity control (C403.2.4.1)	_____
Heat pump control (C403.2.4.1.1)	_____
Set point overlap (C403.2.4.2)	_____
Off-hour controls (C403.2.4.3)	_____
Thermostatic setback (C403.2.4.3.1)	_____
Automatic setback/shutdown (C403.2.4.3.2)	_____
Automatic start (C403.2.4.3.3)	_____
Shutoff damper controls (C403.2.4.4)	_____
Snow melt system controls (C403.2.4.5)	_____
Demand controlled ventilation (C403.2.5.1)	_____
Energy recovery ventilation systems (C403.2.6)	_____
System completion/commissioning (C403.2.9, C408.2)	_____
Air system design and control (C403.2.10)	_____
Allowable fan floor horsepower [C403.2.10.1, Table C403.2.10.1(1)]	_____
Motor nameplate horsepower [C403.2.10.2, Table C403.2.10.1(2)]	_____
Heating outside a building (C403.2.11)	_____

DUCT AND PIPING SYSTEMS (MANDATORY)

Duct insulation/sealing (C403.2.7)	_____
Duct construction (C403.2.7.1)	_____
Low-pressure duct systems (C403.2.7.1.1)	_____
Medium-pressure duct systems (C403.2.7.1.2)	_____
High-pressure duct systems (C403.2.7.1.3)	_____
Piping insulation (C403.2.8, Table C403.2.8)	_____
Protection (C403.2.8.1)	_____

SIMPLE HVAC SYSTEMS

The mechanical systems are unitary or packaged HVAC systems listed in Tables C403.2.3(1) - C403.2.3(8). The complex HVAC system requirements do not apply.

Economizers (C403.3.1)	_____
Design capacity (C403.3.1.1.1)	_____
Control signal (C403.3.1.1.2)	_____
High-limit shutoff (C403.3.1.1.3)	_____
Excess outdoor air (C403.3.1.1.4)	_____
Hydronic system controls (C403.3.2)	_____

COMPLEX HVAC SYSTEMS

The mechanical system is a complex HVAC system not covered in Section C403.3. The simple HVAC system requirements do not apply.

Economizers (C403.4.1)	_____
Design capacity (C403.4.1.1)	_____
Maximum pressure drop (C403.4.1.2)	_____
Economizer control (C403.4.1.3)	_____
Economizer heating system (C403.4.1.4)	_____
VAV fan control (C403.4.2)	_____
Static pressure sensor (C403.4.2.1)	_____
Set points (C403.4.2.2)	_____
Hydronic system controls (C403.4.3)	_____
Heat rejection equipment fan controls (C403.4.4)	_____
Multiple zone systems (C403.4.5)	_____
Single duct VAV (C403.4.5.1)	_____
Dual duct and mixing VAV (C403.4.5.2)	_____
Single fan dual duct and mixing VAV, economizers (C403.4.5.3)	_____
Supply-air temperature reset controls (C403.4.5.4)	_____
Heat recovery for service water heating (C403.4.6)	_____
Hot gas bypass limitation (C403.4.7)	_____

SERVICE WATER HEATING SYSTEMS (C404) (MANDATORY)

SWH equipment efficiency (C404.2, Table C404.2)	_____	Hot water system controls (C404.6)	_____
Temperature controls (C404.3)	_____	Swimming pools/spas (C404.7)	_____
Heat traps (C404.4)	_____	Heaters (C404.7.1)	_____
Piping insulation (C404.5)	_____	Time switches (C404.7.2)	_____
		Covers (C404.7.3)	_____

ELECTRICAL POWER AND LIGHTING SYSTEMS (C405) (MANDATORY)

LIGHTING CONTROLS		Specific application controls (C405.2.3)	_____
Interior lighting controls (C405.2.1.1)	_____	Exterior lighting controls (C405.2.4)	_____
Light reduction controls (C405.2.1.2)	_____	Tandem wiring (C405.3)	_____
Automatic time switch control (C405.2.2.1)	_____	Exit signs (C405.4)	_____
Occupancy sensors (C405.2.2.2)	_____	Interior lighting power (C405.5)	PASS/FAIL
Daylight zone control (C405.2.2.3)	_____	Exterior lighting efficacy (C405.6.1)	_____
Manual daylighting (C405.2.2.3.1)	_____	Exterior lighting power (C405.6.2)	PASS/FAIL
Automatic daylighting (C405.2.2.3.2)	_____	Lighting zone [Table C405.6.2(1)]	_____
Multi-level lighting (C405.2.2.3.3)	_____	Separate metering (C405.7)	_____

NOTES

**Interior Lighting Power Allowances
Building Area Method [Table C405.5.2(1)]**

<u>Building Area Type</u>	<u>Lighting Power Allowance (w/ft²)</u>	<u>Floor Area of Building Type (ft²)</u>	<u>Lighting Power × Area of Building Type</u>	<u>Actual Watts</u>
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____

Space-by-Space Method [Table C405.5.2(2)]

<u>Space Type</u>	<u>Lighting Power Allowance (w/ft²)</u>	<u>Floor Area of Space (ft²)</u>	<u>Lighting Power × Floor Area of Space</u>	<u>Actual Watts</u>
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____

Exterior Building Lighting Power (C405.6.2)

<u>Tradeable Surfaces</u>	<u>Area of Tradeable Surface</u>	<u>Tradeable Surface Allowance</u>	<u>Area × Allowance</u>	<u>Actual Watts</u>
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____

<u>Nontradeable Surfaces</u>	<u>Area of Nontradeable Surface</u>	<u>Nontradeable Surface Allowance</u>	<u>Area × Allowance</u>	<u>Actual Watts</u>
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____

ADDITIONAL EFFICIENCY (C406)

Efficient HVAC (C406.2)	_____	Renewable energy (C406.4)	_____
Efficient lighting (C406.3)	_____		

TOTAL BUILDING PERFORMANCE (C407)

Performance-based (C407.3)	_____	Calculations (C407.5)	_____
Documentation (C407.4)	_____	Calculation software (C407.6)	_____

SYSTEM COMMISSIONING (C408)

Mechanical systems (C408.2)	_____	Preliminary report (C408.2.4)	_____
Commissioning plan (C408.2.1)	_____	Documentation (C408.2.5)	_____
Adjusting and balancing (C408.2.2)	_____	Lighting systems (C408.3)	_____
Air systems (C408.2.2.1)	_____	Functional testing (C408.3.1)	_____
Hydronic systems (C408.2.2.2)	_____		
Functional performance (C408.2.3)	_____		
Equipment (C408.2.3.1)	_____		
Controls (C408.2.3.2)	_____		
Economizers (C408.2.3.3)	_____		

NOTES

RESIDENTIAL PROVISIONS

BUILDING SYSTEM REQUIREMENTS (CHAPTER 4 RE)

BUILDING ENVELOPE (R402)

INSULATION REQUIREMENTS

Compliance method (R402.1.1, R402.1.3, Table R402.1.1, Table R402.1.3, R402.1.4)	_____
Ceilings with attic spaces (R402.2.1)	_____
Ceilings without attic spaces (R402.2.2)	_____
Eave baffle (R402.2.3)	_____
Access hatches and doors (R402.2.4)	_____
Mass walls (R402.2.5)	_____
Steel frame ceilings, walls and floors (R402.2.6, Table R402.1.3, Table R402.2.6)	_____
Floors (R402.2.7)	_____
Basement walls (R402.2.8)	_____
Slab-on-grade floors (R402.2.9, Table R402.1.1)	_____
Crawl space walls (R402.2.10)	_____
Masonry veneer (R402.2.11)	_____
Sunroom (R402.2.12)	_____

FENESTRATION

Glazed fenestration SHGC (Table R402.1.1) Required _____ Proposed _____	
Weighted average U-factor (R402.3.1)	_____
Weighted average SHGC (R402.3.2)	_____
Glazed fenestration exemption (R402.3.3)	_____
Opaque door exemption (R402.3.4)	_____
Sunroom (R402.3.5)	_____
Replacement (R402.3.6)	_____
Maximum fenestration U-factor/SHGC (Mandatory) (R402.5)	_____

AIR LEAKAGE (MANDATORY)

Thermal envelope installation (R402.4.1.1, Table R402.4.1.1)	_____
Thermal envelope testing (R402.4.1.2)	_____
Fireplaces (R402.4.2)	_____
Fenestration (R402.4.3)	_____
Recessed lighting (R402.4.4)	_____

NOTES

MECHANICAL/SERVICE WATER HEATING SYSTEMS (R403)

Controls (Mandatory) (R403.1)	_____	Circulating system (Mandatory) (R403.4.1)	_____
Programmable thermostat (R403.1.1)	_____	Hot water pipe insulation (R403.4.2)	_____
Heat pump supplementary heat (Mandatory) (R403.1.2)	_____	Mechanical ventilation (Mandatory) (R403.5)	_____
Ducts (R403.2)	_____	Whole-house (R403.5.1, Table R403.5.1)	_____
Insulation (R403.2.1)	_____	Equipment sizing (Mandatory) (R403.6)	_____
Sealing (Mandatory) (R403.2.2, R403.2.2.1)	_____	Multiple dwelling units (Mandatory) (R403.7, C403, C404)	_____
Building cavities (Mandatory) (R403.2.3)	_____	Snow melt (Mandatory) (R403.8)	_____
Mechanical piping insulation (Mandatory) (R403.3)	_____	Pools/spas (Mandatory) (R403.9)	_____
Protection (R403.3.1)	_____	Heaters (R403.9.1)	_____
Service hot water (R403.4)	_____	Time switches (R403.9.2)	_____
		Covers (R403.9.3)	_____

ELECTRICAL POWER AND LIGHTING SYSTEMS (R404) (MANDATORY)

LIGHTING EQUIPMENT		Fuel gas lighting (R404.1.1)	_____
High-efficiency lamps (R404.1)	_____		

SIMULATED PERFORMANCE ALTERNATIVE (R405)

Compliance _____

NOTES

ENVELOPE DESIGN WORKSHEET FOR RESIDENTIAL BUILDINGS

COMPONENT DESIGN (R402.1.1) AND U-FACTOR ALTERNATIVE (R402.1.3)

[TO BE COMPLETED WHERE ONE OF THE CORRESPONDING RESIDENTIAL ENVELOPE COMPLIANCE ALTERNATIVES ABOVE IS USED FOR COMPLIANCE ASSESSMENT]

PROPOSED	REQUIRED^{a, c}
-----------------	--------------------------------

Climate Zone (R301.1)

Climate Zone (R301.1)

R-value^b

Description	Insulation Depth		Proposed R-value/U-factor
Ceilings	NA		R-
Wood Frame Walls	NA		R-
Mass Walls	NA		R-
Floors Over Unconditioned Space	NA		R-
Basement Walls	NA		R-
Slab Edge	Unheated	ft.	R-
	Heated	ft.	R-
Crawl Space Walls	ft.		R-

Minimum Required R-value ^b /U-factor (R402.1.1, R402.1.3)		
R-		
R-		
R-		
R-		
R-		
Slab	ft.	R-
	ft.	R-
Crawl	ft.	R-

U-factor^b

Description	Proposed U-factor
Fenestration (includes opaque doors)	U-
Skylight	U-

Maximum U-factor ^b (R402.1.1, R402.1.3)
U-
U-

Compliance

Compliance (R402.1.1, R402.1.3)

The proposed building has been designed to meet the envelope requirements of the IECC.

Yes/No

^a For component designs (R402.1.1) and designs by U-factor alternative (R402.1.3), required R-values/U-factors shall be in accordance with the component requirements of Table R402.1.1 and the equivalent U-factor requirements of Table R402.1.3, respectively.

^b $\left(R\text{-value} = \frac{1}{U\text{-factor}} \right)$

^c For glazed fenestration and opaque door exemptions, see Section R402.3.

WEIGHTED AVERAGE WORKSHEET FOR RESIDENTIAL BUILDINGS

COMPONENT DESIGN (R402.1.1) AND U-FACTOR ALTERNATIVE (R402.1.3)

Envelope Assembly: _____

Component Description	R-value	U-factor (1 ÷ R-value)	Area	U-factor x Area (UA)
			Total Area =	Total UA =

$$\frac{\text{Total Area}}{\text{Total UA Area}} = \text{Weighted Average R-value}$$

$$\frac{\text{Total UA}}{\text{Total Area}} = \text{Weighted Average U-factor}$$

Envelope Assembly: _____

Component Description	R-value	U-factor (1 ÷ R-value)	Area	U-factor x Area (UA)
			Total Area =	Total UA =

$$\frac{\text{Total Area}}{\text{Total UA Area}} = \text{Weighted Average R-value}$$

$$\frac{\text{Total UA}}{\text{Total Area}} = \text{Weighted Average U-factor}$$

Envelope Assembly: _____

Component Description	R-value	U-factor (1 ÷ R-value)	Area	U-factor x Area (UA)
			Total Area =	Total UA =

$$\frac{\text{Total Area}}{\text{Total UA Area}} = \text{Weighted Average R-value}$$

$$\frac{\text{Total UA}}{\text{Total Area}} = \text{Weighted Average U-factor}$$

(User Note: Copy this sheet as necessary for additional envelope assemblies)

ENVELOPE DESIGN WORKSHEET FOR RESIDENTIAL BUILDINGS

TOTAL UA ALTERNATIVE (R402.1.4)

[TO BE COMPLETED WHERE THE CORRESPONDING RESIDENTIAL ENVELOPE COMPLIANCE ALTERNATIVE ABOVE IS USED FOR COMPLIANCE ASSESSMENT]

PROPOSED					REQUIRED ^a			
Climate Zone (R301.1) <input style="width: 100px;" type="text"/>					Climate Zone (R301.1) <input style="width: 100px;" type="text"/>			
Ceilings and Floors Over Outside Air								
Description	Insulation R-value ^b	U-factor ^b	x Area	= UA				
Ceilings			ft ²		Required U-factor ^b	x Area	= UA	
			ft ²			ft ²		
Floors Over Outside Air			ft ²					
Ceilings: Total Area			ft ²					
					↑			
Skylights								
Description	Insulation R-value ^b	U-factor ^b	x Area	= UA	Required U-factor ^b	x Area	= UA	
Skylights	—		ft ²			ft ²		
			ft ²					
Skylights: Total Area			ft ²					
					↑			
Walls								
Description	Insulation R-value ^b	U-factor ^b	x Area	= UA	Required U-factor ^b	x Area	= UA	
Walls			ft ²			ft ²		
			ft ²					
Walls: Total Area			ft ²					
					↑			
Vertical Fenestration (Windows and Doors)								
Description	Insulation R-value ^b	U-factor ^b	x Area	= UA	Required U-factor ^b	x Area	= UA	
Windows	—		ft ²			ft ²		
			ft ²					
Doors	—		ft ²					
			ft ²					
Sliding Glass Doors	—		ft ²					
Vertical Fenestration: Total Area			ft ²					
					↑			
Floors and Foundations								
Description	Insulation Depth	Insulation R-value ^b	U-factor ^b	Area or x Perimeter	= UA	Required U-factor ^b	Area or x Perimeter	= UA
Floors Over Uncond. Space	NA	NA		ft ²			ft ²	
Basement Walls	NA	NA		ft ²			ft ²	
Unheated Slabs	ft.	—		ft ²			ft ²	
Heated Slabs	ft.	—		ft ²			ft ²	
Crawl Space Walls	ft.	—		ft ²			ft ²	
				ft ²			ft ²	
Total Proposed UA ^c						Total Required UA ^c		
<input style="width: 100px;" type="text"/>					<input style="width: 100px;" type="text"/>			

a Required U-factors shall be in accordance with the equivalent U-factors from Table R402.1.3.

b $\left(R - \text{value} = \frac{1}{U - \text{factor}} \right)$

c In order to demonstrate compliance with the IECC, Total Proposed UA must be less than or equal to the Total Required UA.

**TABLE R402.1.1
INSULATION AND FENESTRATION REQUIREMENTS BY COMPONENT^a**

CLIMATE ZONE	FENESTRATION U-FACTOR ^b	SKYLIGHT U-FACTOR	GLAZED FENESTRATION SHGC ^{b, e}	CEILING R-VALUE	WOOD FRAME WALL R-VALUE	MASS WALL R-VALUE ⁱ	FLOOR R-VALUE	BASEMENT WALL R-VALUE	SLAB ^d R-VALUE & DEPTH	CRAWL SPACE ^c WALL R-VALUE
1	NR	0.75	0.25	30	13	3/4	13	0	0	0
2	0.40	0.65	0.25	38	13	4/6	13	0	0	0
3	0.35	0.55	0.25	38	13	8/13	19	5/13 ^f	0	5/13
4 except Marine	0.35	0.55	0.40	49	20 or 13+5 ^h	8/13	19	10 /13	10, 2 ft	10/13
5 and Marine 4	0.32	0.55	NR	49	20 or 13+5 ^h	13/17	30 ^g	15/19	10, 2 ft	15/19
6	0.32	0.55	NR	49	20+5 or 13+10 ^h	15/20	30 ^g	15/19	10, 4 ft	15/19
7 and 8	0.32	0.55	NR	49	20+5 or 13+10 ^h	19/21	38 ^g	15/19	10, 4 ft	15/19

For SI: 1 foot = 304.8 mm.

- R*-values are minimums. *U*-factors and SHGC are maximums. When insulation is installed in a cavity which is less than the label or design thickness of the insulation, the installed *R*-value of the insulation shall not be less than the *R*-value specified in the table.
- The fenestration *U*-factor column excludes skylights. The SHGC column applies to all glazed fenestration. Exception: Skylights may be excluded from glazed fenestration SHGC requirements in Climate Zones 1 through 3 where the SHGC for such skylights does not exceed 0.30.
- “15/19” means R-15 continuous insulation on the interior or exterior of the home or R-19 cavity insulation at the interior of the basement wall. “15/19” shall be permitted to be met with R-13 cavity insulation on the interior of the basement wall plus R-5 continuous insulation on the interior or exterior of the home. “10/13” means R-10 continuous insulation on the interior or exterior of the home or R-13 cavity insulation at the interior of the basement wall.
- R-5 shall be added to the required slab edge *R*-values for heated slabs. Insulation depth shall be the depth of the footing or 2 feet, whichever is less in Climate Zones 1 through 3 for heated slabs.
- There are no SHGC requirements in the Marine Zone.
- Basement wall insulation is not required in warm-humid locations as defined by Figure R301.1 and Table R301.1.
- Or insulation sufficient to fill the framing cavity, R-19 minimum.
- First value is cavity insulation, second is continuous insulation or insulated siding, so “13+5” means R-13 cavity insulation plus R-5 continuous insulation or insulated siding. If structural sheathing covers 40 percent or less of the exterior, continuous insulation *R*-value shall be permitted to be reduced by no more than R-3 in the locations where structural sheathing is used – to maintain a consistent total sheathing thickness.
- The second *R*-value applies when more than half the insulation is on the interior of the mass wall.

**TABLE R402.1.3
EQUIVALENT U-FACTORS^a**

CLIMATE ZONE	FENESTRATION U-FACTOR	SKYLIGHT U-FACTOR	CEILING U-FACTOR	FRAME WALL U-FACTOR	MASS WALL U-FACTOR ^b	FLOOR U-FACTOR	BASEMENT WALL U-FACTOR	CRAWL SPACE WALL U-FACTOR
1	0.50	0.75	0.035	0.082	0.197	0.064	0.360	0.477
2	0.40	0.65	0.030	0.082	0.165	0.064	0.360	0.477
3	0.35	0.55	0.030	0.057	0.098	0.047	0.091 ^c	0.136
4 except Marine	0.35	0.55	0.026	0.057	0.098	0.047	0.059	0.065
5 and Marine 4	0.32	0.55	0.026	0.057	0.082	0.033	0.050	0.055
6	0.32	0.55	0.026	0.048	0.060	0.033	0.050	0.055
7 and 8	0.32	0.55	0.026	0.048	0.057	0.028	0.050	0.055

- Nonfenestration *U*-factors shall be obtained from measurement, calculation or an approved source.
- When more than half the insulation is on the interior, the mass wall *U*-factors shall be a maximum of 0.17 in Climate Zone 1, 0.14 in Climate Zone 2, 0.12 in Climate Zone 3, 0.087 in Climate Zone 4 except Marine, 0.065 in Climate Zone 5 and Marine 4, and 0.057 in Climate Zones 6 through 8.
- Basement wall *U*-factor of 0.360 in warm-humid locations as defined by Figure R301.1 and Table R301.1.